Page 1 of 2

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/795,766

03/08/2004

Oleh G. Pankewycz

11520.0352

Hodgson Russ, LLP Suite 2000 1800 One M & T Plaza Buffalo, NY 14203

**CONFIRMATION NO. 2608** FORMALITIES LETTER OC000000013233998\*

Date Mailed: 07/14/2004

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

#### Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$65 for a Small Entity

\$65 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

08/17/2004 MBIZUNES 00000093 10795766

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65.00 DP

Customer Service Center | Initial Patent Examination Division (703) 308-1202 PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03) Approved for use through 07/31/2006 ON HP 6 12-0832 FEE TRANSMITTAL				Application Number			10/795,766	
				Filing Date			March 8, 2004	
tor HV /III/4 / ANN N				First Named Inventor			Pankewycz	
Effective 10/01/2003. Patent Fees are subject to annual evision.				Examiner Name				
Applicant claims small entity status. See 3 FR 1.27				Group/Art Unit				
TOTAL AMOUNT OF PAYMENT (\$) 65.00		TRADE		Attorney Docket Number		ег	11520.0352	
METHOD OF PAYMENT (check all that apply)				FEE CALC		CALC	CULATION (continued)	
■ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None		3. ADDI	3. ADDITIONAL FEES					
Deposit Account: Deposit Account Number: 08-2442		Large	Entity	Small Entity				F
Deposit Account Name: <u>Hodgson Russ LLP</u> The Director is hereby authorized to (check all that apply)		Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description		Fee Paid
☐ Charge fee(s) indicated below ☐ Charge any fee deficiencies or credit any overpayments		1051	130	2051	65	Surcharge - late filing fee or oath		\$ 65
☐ Charge any additional fees during pendency of this application.			50	2052	25	Surcharge - late provisional filing fee or cover sheet		s
☐ Charge fees indicated below, except for the filing fee		1052	130	1053	130			
to the above-identified deposit account		1055	130	1033	130	Non-English specification		\$
FEE CALCULATION  1. BASIC FILING FEE			2,520	1812	2,520	For fi	For filing a request for ex parte reexamination	
Large Entity Small Entity		1804	920*	1804	920*	Requ	Requesting Publication of SIR prior to Examiner Action	
Fee Fee Fee Code (\$) Code (\$) Fee Description	Fee Paid	1805	1,840*	1805	1,840*	Requesting Publication of SIR after Examiner Action \$		
1001 770 2001 385 Utility filing fee	\$	1251	110	2251	55	Extension for reply within first month		\$
1002 340 2002 170 Design filing fee	S	1252	420	2252	210	Extension for reply within second month		s
1003 530 2003 265 Plant filing fee	\$	1253	950	2253	475	Extension for reply within third month		s
1004 770 2004 385 Reissue filing fee	s	1254	1,480	2254	740	Extension for reply within fourth month		\$
1005 160 2005 80 Provisional filing fee	s	1255	2,010	2255	1,005	Extension for reply within fifth month		s
SUBTOTAL (1)   2. EXTRA CLAIM FEES FOR UTILITY/ REISSUE   Extra   Fee from   Claims   below	<b>\$</b> 0	1401	330	2401	165	Notic	Notice of Appeal	
	Fee Paid	1402	330	2402	165	Filing a brief in support of an appeal		\$
	S	1403	290	2403	145	Requ	Request for oral hearing	
Independent Claims / /- 3** = / / x / /=	S	1451	1,510	1451	1,510	Petiti	on to institute a public use proceeding	s
Multiple dependent / / x / / =	S	1452	110	2452	55	Petiti	on to revive - unavoidable	s
Large Epity Small Entity		1453	1,330	2453	665	Petition to revive - unintentional		s
Fee Fee Fee Code (\$) Code (\$) Fee Description			1,330	2501	665	10 advance copies Utility issue fee (or reissue)		S
1202 18 2202 9 Claims in excess of 20			480	2502	240	Design issue fee		s
1201 86 2201 43 Independent claims in excess of 3			640	2503	320	Plant issue fee		s
1203 290 2203 145 Multiple dependent claim if not paid			130	1460	130	Petitions to the Commissioner		s
1204 86 2204 43 **Reissue independent claims over original patent		1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		s
1205 18 2205 9 **Reissue claims in excess of 20 and over original patent				Î		Submission of Information Disclosure Statement		
		1806 8021	180	1806	180	Recording each patent assignment per property (times		S S
SUBTOTAL (2) \$ 0 SUBMITTED BY:			40	8021	40	numb	number of properties)	
Ranjana Kadle Reg. No. 40,041			770	2809	385	Filing a submission after final rejection(37 CFR 1.129(a))		s
SIGNATURE MM			770_	2810	385	For each add'l invention to be examined(37 CFR1.129(b))		s
DATE: August 12, 2004 Telephone: (716) 848-1628			770	2801	385	Request For Continued Examination (RCE)		s
-			900	1802	900	Request for Expedited Examination of a design application \$		
			Other fee (specify)					
			*Reduced by basic filing fee paid SUBTOTAL (3)					



I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on <u>August 12, 2004</u>

Susan L. Eick

Name

Signature

August 12, 2004

Date of Signature

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Pankewycz

Serial No.: 10/795,766

Filed: March 8, 2004

i ned: March 6, 2004

Novel Human Gene with Immunoregulatory and Anti-Proliferative Properties

# **RESPONSE**

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

For:

Responsive to the Notice to File Missing Parts of Non-provisional Application mailed July 14, 2004 (copy enclosed), herewith is the executed Declaration and the \$65.00 filing fee.

If any underpayment or overpayment has been made, please charge to Deposit Account No. 08-2442.

Respectfully submitted,

HODGSON RUSS LLP

>У\_\_

Ranjana Kadle, Reg. No. 40,041

One M&T Plaza, Suite 2000 Buffalo, New York 14203 Tel: (716) 848-1628

Dated: August 12, 2004

BFLODOCS: 953701 v1 (KFVP01!.DOC)